

Consent Form for the Collection and Use of HCP Personal Information for Using the CellChain Website

Novartis Korea. Ltd. (the “Company”) intends to collect and use your personal information as below when you order “KYMRIAH” from the Company’s CellChain website for your patients or the patients who receive treatment from you medical facilities (the “Online Order”). Please thoroughly read the following and decide whether to give your consent.

Collection and Use of Personal Information

Items of Personal Information	Purposes for the Collection and Use of Personal Information	Period of Retention and Use of Personal Information
<ul style="list-style-type: none"> Name, work email address, work address, and phone number Order Information Email address to receive notifications Visited pages, IP address, Log In Time 	<ul style="list-style-type: none"> To operate the Online Order platform and support our business activities related to KYMRIAH; To evaluate the effectiveness of the Online Order; To conduct audits on the ordering system and your use; To establish a log of data entries and verifications related to each product order; To monitor and prevent fraud, infringement, and other potential misuse of the Online Order; and For other related business purposes 	<ul style="list-style-type: none"> <u>For the period necessary to achieve the purposes</u> However, if the retention period of the documents is designated by applicable law, <u>such statutory retention period will be applied</u>

※ You may choose not to give consent for the collection and use of personal information. However, since the above items are required for the use of CellChain website, it will be difficult to use the service if you refuse to give consent to the collection and use of personal information.

*** For more information regarding the processing of your personal information, please see the “CellChain Website Privacy Policy” below.**

CellChain Website Privacy Policy

Thank you for your trust in Novartis Korea. Ltd. (hereinafter “Novartis” “we”, “our”, or “us”). We are an affiliate of the Novartis Global Group.

This Privacy Policy describes how we use and transfer your personal information we collect from you when you use the CellChain website to order KYMRIAH for your patients, or for the patients being treated at your health care institution (“Online Order”), and your related rights.

By using this website, you consent to the collection and use of information as set forth in this Privacy Policy.

1. Personal Data Being Collected

When you use the Online Order, we collect the Personal Data that you provide to us about you and related to your use of the website. In this Privacy Policy, the term “Personal Data” means information that can be used to identify you, such as your name, email address and phone number. The types of Personal Data we collect are based on the specific website function that you use. For instance:

- When you create an online account, we will collect your name, work email address, work address, and phone number.
- When you place an Online Order on the CellChain website, we will collect the relevant order information.
- If you sign up for KYMRIAH patient order notification emails, we will collect the email address you provided to receive these notifications.

We also collect Personal Data about your use of the Online Order, such as the pages visited, IP address used for your visit, and your login time. For more information about how we collect these information, please see “Data Collection Technologies” below.

2. Purposes for the Use of Personal Data

The Personal Data we collect will be used to process and track patient biomaterial, created and provided for Novartis to manufacture patient specific KYMRIAH dose. We may also use the information we collect for the following purposes:

- To operate the Online Order platform and support our business activities related to KYMRIAH, including the supply and delivery of KYMRIAH;
- To evaluate the effectiveness of the Online Order;
- To conduct audits of the system and your use;
- To establish a log of data entries and verifications related to each product order;
- To monitor and prevent fraud, infringement, and other potential misuse of the Online Order; and
- For other related business purposes.

Unless there is a legal justification for the purpose which we use your Personal Data, we will not use your Personal Data.

3. Transfer of Personal Data

The Personal Data we collect from you may be transferred as follows:

- We entrust Personal Data processing to our subsidiaries and affiliates within the Novartis Global Group as described below for the purposes described in this Privacy Policy.

Company Name (Country), and Contact Information of Chief Data Privacy Officer	Items of Personal Data to be Transferred	Time and Method of Transfer	Purpose of Use, and Period of Use and Retention
Novartis Asia Pacific Pharmaceuticals Pte Ltd (Singapore) Email: sg.cdpo@novartis.com	<ul style="list-style-type: none"> • Name, work email address, work address, and phone number • Order Information • Email address to receive notifications • Visited pages, IP address, Log In Time 	Regular or on-demand transfer of the saved information via CellChain Cloud Server (direct login to CellChain with user's account and password)	Purpose of Use: Operational purpose (i.e., sending email notifications to inform site users (including HCPs) about the order status at different milestones) Retention period: 33 years (from the date of the collection)
Novartis Pharmaceuticals Corporation (U.S.A.) Email: global.privacy_office@novartis.com	<ul style="list-style-type: none"> • Name, work email address, work address, and phone number • Order Information • Email address to receive notifications • Visited pages, IP address, Log In Time 	Regular or on-demand transfer of the saved information via CellChain Cloud Server (direct login to CellChain with user's account and password)	Purpose of Use: Operational purpose (triggering automated CellChain email notifications, such as "manufacturing has been completed and it will be shipped out from site," etc.) Retention period: 33 years (from the date of the collection)
Novartis Healthcare Private Limited (India) Email: queries.dataprivacy@novartis.com	<ul style="list-style-type: none"> • Name, work email address, work address, and phone number • Order Information • Email address to receive notifications • Visited pages, IP address, Log In Time 	Regular or on-demand transfer of the saved information via CellChain Cloud Server (direct login to CellChain with user's account and password)	Purpose of Use: Oversee CellChain system, manage requests, settings, troubleshoot issues Retention period: 33 years (from the date of the collection)

- We entrust Personal Data processing to our service providers as described below.

Company Name (Country), and Contact of Chief Data Privacy Officer	Items of Personal Data to be Transferred	Time and Method of Transfer	Purpose of Use, and Period of Use and Retention
Salesforce (U.S.A.) Email: privacy@salesforce.com	<ul style="list-style-type: none"> • Name, work email address, work address, and phone number • Order Information 	Transferred through the network regularly or on-demand	Purpose of Use: Storage of data Retention period: Until the end of the entrusted work

	<ul style="list-style-type: none"> • Email address to receive notifications • Visited pages, IP address, Log In Time 		
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- Please note that our service providers may be located in countries or territories other than your country of residence. The Personal Data we collect from you may therefore be processed, accessed, or stored in a country outside of your country of residence, which may not offer the same level of data protection as the country in which you reside.
- If we transfer your Personal Data to external companies in other jurisdictions, we will make sure to protect your Personal Data by (i) applying the level of protection required under the local data protection/privacy laws applicable to Novartis, and (ii) acting in accordance with our policies and standards. We protect your Personal Data by implementing appropriate technical and administrative measures.
- Our service providers have contracted with us to use your Personal Data for the agreed upon purposes, not to sell your Personal Data to third parties, and not to disclose it to third parties except as may be required by laws and regulations, as permitted by us, or as stated in this Privacy Policy. However, we may provide your Personal Data when it is ordered or requested by courts or other governmental agencies in accordance with laws and regulations, or when it is necessary to comply with legal obligations, such as reporting adverse events to health authorities.
- For intra-group transfers of Personal Data among our group companies, the Novartis Group has adopted Binding Corporate Rules, a system of principles, rules and tools, provided by European law, in an effort to ensure effective levels of data protection relating to transfers of personal data outside the EEA and Switzerland. Read more about the Novartis Binding Corporate Rules by clicking [here](https://www.novartis.com/privacy/novartis-binding-corporate-rules-bcr)

4. Data Collection Technologies

We use “cookies” for saving and retrieving use data to provide customized services to individual user. Cookie is a small quantity of data sent by the server (http) operating the website to the individual user’s computer browser, and can be saved on the hard disk of the user’s personal computer. The user can refuse to save cookies by setting the option in the [Tools>Internet Option>Personal Data] menu located at the top of the web browser.

We do not currently respond to a web browser’s “do not track” signal or other mechanism that provides an **opt-out option from the collection of information across websites or other online services**.

5. Your Rights

When we process your Personal Data, we take reasonable steps to ensure that it is kept accurate and up-to-date for the purposes for which it was collected. You have the right to request access to, modify, delete, or suspend the processing of your Personal Data.

If you wish to contact us with such a request or a question regarding our use of your Personal Data, write to our local Chief Data Privacy Officer at dataprivacy-kr.officer@novartis.com or call KYMRIAHCARES® at 080-001-4447 / +82-2-768-9046. Requests to delete Personal Data will be subject to any applicable legal and ethical reporting or document filing or retention obligations

imposed on us.

6. Information Retention Period and Security

We retain your Personal Data for the period necessary to fulfill our business purposes, including those outlined in this Privacy Policy. However, if the retention period of the document is designated by applicable law, the document can be retained for the applicable statutory retention period. We use reasonable safeguards to protect your Personal Data against accidental or unlawful destruction or alteration, accidental loss, unauthorized disclosure, or access, and against other unlawful forms of processing. Moreover, when handling your Personal Data:

- We only collect and process Personal Data which is adequate, relevant, and not excessive as required to meet the above purposes; and
- Personal Data is accessed and processed solely by the relevant personnel, under the responsibility of one of our representatives who is subject to an obligation of professional secrecy or confidentiality.

However, we cannot guarantee the absolute security of your information, especially information transmitted over the Internet. We encourage you to take precautions to protect your Personal Data as well.

7. Measures for Safeguarding Personal Data

We take the following measures to assure the safety of your Personal Data.

- Administrative measures: Establish and implement internal management plan, provide regular Personal Data privacy training for employees, etc.
- Technical measures: Manage access to Personal Data processing systems, etc., establish access control system, encryption of unique identification data, etc., and install security programs, etc.
- Physical measures: Control access to Personal Data storage places, including the data processing room and data storage room, etc.

8. Procedures and Methods of Destroying Personal Data

We destroy Personal Data in accordance with the following procedures and methods.

- In principle, when the Company no longer needs Personal Data, such as after achieving the purpose of processing Personal Data, the Company destroys such Personal Data without delay.
- When the Company destroys Personal Data, the Company takes appropriate measures so that the Personal Data cannot be recovered or recycled.
- When the Company has to preserve and not destroy the Personal Data, the Company separately saves and manages such Personal Data or Personal Data file from other Personal Data.
- When the Personal Data to be destroyed is in the form of an electronic file, the Company permanently deletes the Personal Data in the way it cannot be restored. When the Personal Data is in a readable media, including records, printed and written documents, the Company either shreds or incinerates the Personal Data.

9. Links to Third-Party Websites

This Privacy Policy only applies to the Online Order, and it does not apply to the websites owned by third parties. We may provide links to third-party services, including social networking

sites, which we believe they are subject to your interest. These third-party websites are not under our control and we are not responsible for the privacy or security practices of other organizations. We encourage you to review the privacy policies and terms of use of these third-party websites before disclosing your information.

10. Chief Data Privacy Officer

For any inquiries about our Privacy Policy, complaint, damage relief, etc., please write to our local Chief Data Privacy Officer at dataprivacy-kr.officer@novartis.com or call KYMRIAHCARES® at 080-001-4447 / +82-2-768- 9046

[Chief Data Privacy Officer]

Name: Mijung Hwang

Dept.: Legal

Phone No.: 02-768-9409

E-mail: dataprivacy-kr.officer@novartis.com

[Data Privacy Officer]

Name: Hyunjee Kim

Dept.: Legal

Phone No.: 02-768-9252

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11. Changes to This Privacy Policy

This Privacy Policy shall be effective from May 19, 2022.

The previous Privacy Policy is available below.

[Applied in 2021. 5. 13. ~ 2022. 5. 18. \(Click\)](#)